



远源證券有限公司

Theia Securities Limited

SFC Licensed Corporation 證監會持牌法團 (CE# 中央編號: BJW079)

Suite 5103, 51/F, Central Plaza, 18 Harbour Road, Hong Kong

香港灣仔港灣道 18 號中環廣場 51 樓 5103 室

Tel 電話: (852) 3168 0320 Fax 傳真: (852) 3168 0330 Email 電郵: cs@theiasec.com

### H.K. Stock Commission and Charges Table 港股佣金及收费表

Effective Date 生效日期: 13 Nov 2024

Services 服务项目	Charges 收费	Remarks 备注
<b>Stock transaction 股票买卖</b>		
<b>Brokerage commission through electronic systems</b> -By internet 电子系统交易佣金# -经互联网落盘	0.15% of transaction amount, minimum HK\$100 (higher one charged) 0.15%或最低港币 100 元(以较高者计算)	/
<b>Commission by telephone transaction</b> 电话人工下单交易佣金#	Maximum between 0.25% of transaction amount and HK\$100. 0.25% 或最低港币 100 元, 以较高者计算	/
<b>Trading fee (HKEx)</b> 交易征费 (港交所)	0.00565%	Calculated according to transaction amount 按交易金额计算
<b>Transaction levy(SFC)</b> 交易征费 (证监会)	0.0027%	Calculated according to transaction amount 按交易金额计算
<b>Transaction levy(FRC)</b> 交易征费 (财汇局)	0.00015%	计算
<b>Stamp duty</b> 印花税	0.10% of transaction amount 每宗交易金额之 0.10%	Rounded up to the nearest dollar 不足一元亦作一元计
<b>CCASS fee</b> 結算所征费#	0.006% min. HK\$5 max.HK\$200. 0.006%最高港币 200 元; 最低港币 5 元	Calculated according to Transaction amount 按交易金额计算
<b>Accrual interest</b> 账户结欠利息 - Cash account 现金账户	HKD 港币 P+5% p.a. RMB 人民币 P+8% p.a. USD 美元 P+8% p.a.	Calculate by Simple Interest 以简单利息按日计算
<b>Stock deposit and withdrawal 股票提存</b>		
<b>Scrip deposit</b> 实货存入	Stamp duty per transfer:HK\$5. 厘印费 (按每张股票/转手纸计)每张港币 5 元	/
<b>S.I. / I.S.I. withdrawal</b> 交收指示提取	HK\$5 per stock per lot, min HK\$100 max HK\$500 每只每手港币 5 元, 最低港币 100 元最高港幣 500 元	/
<b>S.I. / I.S.I. deposit</b> 交收指示存入	Waived 免费	/
<b>Physical scrip withdrawal</b> 实货提取	HK\$5 per stock per lot, min. HK\$100. 每只每手港币 5 元, 最低港币 100 元	Per lot calculation. 不足 1 手, 作 1 手计算
<b>Other services 其他服务</b>		
<b>FPS</b> 转数快	Per transaction HK\$10 (Maximum withdrawal amount HK\$999,999.99) 手续费每笔港币 10 元 (最多提款港币 999,999.99)	Only available for registered bank accounts in Hong Kong 只供已登记之香港银行账户
<b>CHATS</b> 转账	Per transaction HK\$200 手续费每笔港币 200 元	/
<b>Telegraphic transfer fee</b> 电汇转账	Per transaction \$300 手续费每笔港币 300 元	/



## 远源證券有限公司

Theia Securities Limited

SFC Licensed Corporation 證監會持牌法團 (CE# 中央編號: BJW079)

Suite 5103, 51/F, Central Plaza, 18 Harbour Road, Hong Kong

香港灣仔港灣道 18 號中環廣場 51 樓 5103 室

Tel 電話: (852) 3168 0320 Fax 傳真: (852) 3168 0330 Email 電郵: cs@theiasec.com

<b>Scrip fee</b> 过户费	HK\$1.5 per board lot min HK\$10. 每手港币 1.5 元, 最低港币 10 元, 如经中央结算系统	Per lot calculation. 不足 1 手, 作 1 手计算
<b>IPO application</b> 代购新股#	Waived 免费	/
<b>Cash, bond interest collection and redemption</b> 代收现金股息/债券利息#和债券赎回	0.5% of dividend or interest amount, min. HK\$20, redemption fee HK\$50. 按股息/债息金额之 0.5%最低港币 20 元, 赎回费港币 50 元.	/
<b>Scrip dividend</b> 以股代息	HK\$2.00 per board lot min HK\$20. 每手港币 2 元, 最低港币 20 元	
<b>Bonus Shares Issue</b> 代收红股	HK\$2 per board lot, min. HK\$30 每手港币 2 元, 最低收港币 30 元	Odd lots treated as one board lot 碎股作一手计
<b>Dividend claim</b> 追讨股息(每只股份派息期)#	0.5% of dividend amount, min. HK\$500 按股息金额之 0.5%, 最低港币 500 元	/
<b>Corporate action (Right/warrant issue, privatization, takeover etc.)</b> 企业行动 (代供股权/认股证、私有化、收购等)	HK\$100 per stock and HK\$1.5 per board lot min.HK\$10. 每只股票每次手续费港币 100 元及香港结算收取每手港币 1.5 元, 最低港币 10 元.	Calculated on board lot basis 不足 1 手, 亦作 1 手计算
<b>Duplicated copy of statement</b> 补回月结单	Reissue of e-statements within the past 6 months (Free of Charge) Reissue of e-statement prior to 6 months ago will incur a monthly service fee of HK\$100 per statement 补发 6 个月内的电子月结单 (免费) 补发 6 个月前之电子月结单收取每月手续费港币 100 元	Per month calculation (eg.HK\$200 will be charged for two months duplicated copy of statements. 以一个月计算(例子:若要求补发 2 个月的月结单, 便收取手续费港币 200 元, 如此类推)
<b>Postage daily or monthly statement</b> 邮寄形式收取日/月结单行政费	HK\$50 per month 每月港币 50 元	/
<b>Administrative fee for extra certificate document request</b> 额外要求提供证明文件行政费	HK\$100 per document 每份港币 100 元	/

\* Monthly custody fee will be collected in percentage basis according to CCASS charge if the individual stock's custody fee is over \$100.

\* 如个别股份的股票托管费在港币 100 元或以上会以百分比方式收取。



远源證券有限公司

Theia Securities Limited

SFC Licensed Corporation 證監會持牌法團 (CE# 中央編號: BJW079)

Suite 5103, 51/F, Central Plaza, 18 Harbour Road, Hong Kong

香港灣仔港灣道 18 號中環廣場 51 樓 5103 室

Tel 電話: (852) 3168 0320 Fax 傳真: (852) 3168 0330 Email 電郵: cs@theiasec.com

**US Stock Commission and Charges Table 美股佣金及收費表**

Services 服務項目	Charges 收費	Remarks 備註
<b>Stock transaction 股票買賣</b>		
<b>Brokerage commission through electronic systems</b> -By internet 電子系統交易佣金# -經互聯網落盤	US\$0.02 per share, minimum US\$2.00 of transaction (maximum 0.5% of transaction) 每股 0.02 美元或最低每宗交易 2.00 美元 (最高每宗交易之 0.5%)	/
<b>Clearing Fee</b> 交收費	US\$0.003 per share, minimum US\$0.01 of transaction 0.003 美元或最低每宗交易 0.01 美元	/
<b>Sec Fee</b> 證監會規費	0.00278% of transaction amount, minimum US\$0.01 of transaction 每宗交易金額之 0.00278%或最低每宗交易 0.01 美元	Applicable to Sell orders only 僅對賣單收取
<b>Transaction Activity Fee (TAF)</b> 交易活動費	US\$0.000166 per share, minimum US\$0.01 of transaction (maximum US\$8.30 of transaction) 每股 0.000166 美元或最低每宗交易 0.01 美元(最高每宗交易 8.30 美元)	Applicable to Sell orders only 僅對賣單收取
<b>Other services 其他服務</b>		
<b>Dividend Collection Tax</b> 代收股息稅	2% of dividend amount (minimum US\$3.00) 按股息金額之 2%, 最低 3.00 美元	The tax rate may vary depending on the nature of the listed securities and/or the location of the foreign resident and/or the tax policies of the country where the listed company is registered (automatically withheld at the time of distribution). 稅率會隨上市證券性質 及/或 外國居民所在地 及/或 上市公司註冊國家稅務政策而變化 (均在派發時自動預扣)
<b>Shares Transfer</b> 轉倉費	US\$100 per stock 每隻股票 100 美元	
<b>ADR Fee</b> 美國預托證券費用	US\$0.01-US\$0.05 per share 每股 0.01 美元-0.05 美元	To collect in accordance with the actual amounts charged by the custodian securities agent 按預托證券代理之實數收取
<b>ADR Convert to HK Securities</b> 由美股轉換到港股	US\$800.00 per Stock + Transfer Fee US\$5.00 per Stock (including upstream fees) 每只股票 800 美元 + 轉換費每只股票每手 5 美元 (含上游收費)	

\* Above charges and rate only for reference, no notice will be given for any changes

\* 以上收費及息率資料僅供參考，本公司有權隨時調整以上收費及息率，而毋須另行通知。

\*\*匯率只供參考，客戶需自行承擔風險，實際匯率以結單為準

The exchange rate displayed on the trading APP is for reference only. Clients are responsible for the exchange rate risks.

The actual transaction exchange rate is confirmed on the statement.

# Relevant fees are charged according to settlement currency

# 相關收費以結算貨幣計算